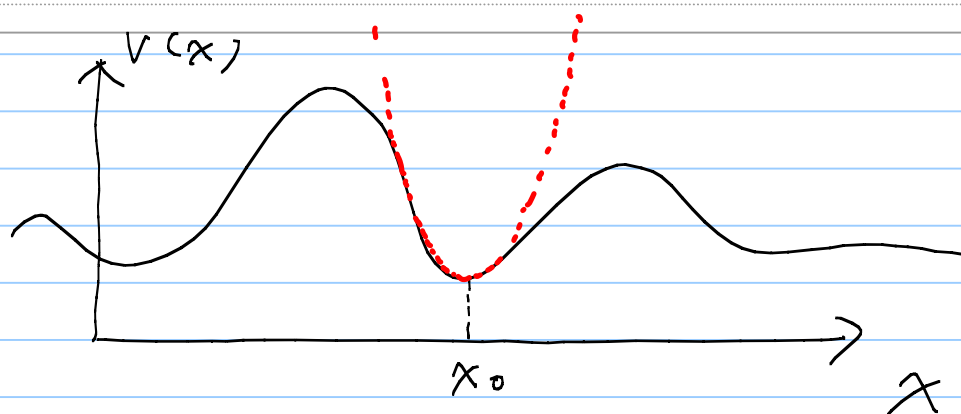


Harmonic Oscillator

4-1

Note Title

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For an arbitrary potential, in the neighborhood of a local minimum, using the Taylor series about the minimum

$$V(x) = V(x_0) + V'(x_0)(x - x_0) + \frac{1}{2} V''(x_0)(x - x_0)^2 + \dots$$

Since $V'(x_0) = 0$ because x_0 is a local minimum and if we take $V(x_0)$ also as zero without losing any generality, near the local minimum

$$V(x) \approx \frac{1}{2} V''(x_0)(x - x_0)^2$$

Or using the spring constant concept based on the Hooke's law

$$V(x) = \frac{1}{2} k x^2, \quad \text{with } x_0 = 0, \quad k = V''(x_0).$$

This is called simple harmonic oscillator or just harmonic oscillator

We know from Newton's second law that the motion governed by this simple harmonic oscillator corresponds to an oscillation with

$$\omega = \sqrt{\frac{k}{m}} \Rightarrow k = m\omega^2$$

So the Hamiltonian of an harmonic oscillator is

$$H = T + V = \frac{p^2}{2m} + \frac{1}{2} m \omega^2 x^2$$

Now we want to solve the T.I.S.E.

$$H \psi(x) = E \psi(x)$$

$$\Rightarrow \frac{1}{2m} [p^2 + (m\omega x)^2] \psi = E \psi(x)$$

There are two ways to solve this:

① power series method (Sect. 2.3.2)

② ladder operator method (Sect. 2.3.1)

We will mostly focus onto the second method, which is more important than the first.

We want to replace the quadratic operators (p^2 and x^2) by linear operators.

Noting that $u^2 + v^2 = (iu + v)(-iu + v)$, let's define two so called ladder operators: we will see the origin of this name soon

$$a_{\pm} \equiv \frac{1}{\sqrt{\hbar m \omega}} \frac{1}{\sqrt{2m}} (\mp i p + m \omega x)$$

Now

$$a - a^{\dagger} = \frac{1}{2\hbar m \omega} (i p + m \omega x) (-i p + m \omega x)$$

$$= \frac{1}{2\hbar m \omega} (p^2 + (m\omega x)^2 - i m \omega (x p - p x))$$

Here $(x p - p x) \equiv [x, p]$ is called the commutator of x and p .

In general, the commutator of any two operators A and B is $[A, B] = AB - BA$

With this notation

$$a - a^\dagger = \frac{1}{2\hbar\omega} (p^2 + (m\omega x)^2) - \frac{i}{2\hbar} [x, p]$$

The important thing is that $[x, p]$ is not zero, as we see below:

$$\begin{aligned} [x, p] f(x) &= x \frac{\hbar}{i} \frac{d}{dx} (f) - \frac{\hbar}{i} \frac{d}{dx} (xf) \\ &= \frac{\hbar}{i} \left(x \frac{df}{dx} - x \frac{df}{dx} - \left(\frac{dx}{dx} \right) f \right) \\ &= -i\hbar f(x) \end{aligned}$$

$$\therefore [x, p] = i\hbar$$

This is called the "canonical commutation relation."

We usually say that " x " and " p " do not commute. And any non-commuting operators have uncertainty principle involved: we will learn more about this in Chap. 3.

Now with this commutator

$$\begin{aligned} a - a^\dagger &= \frac{1}{2\hbar\omega} (p^2 + (m\omega x)^2) + \frac{1}{2} \\ &= \frac{1}{\hbar\omega} H + \frac{1}{2} \end{aligned}$$

$$\Rightarrow H = \hbar\omega \left(a - a^\dagger - \frac{1}{2} \right)$$

If we go through similar steps,

$$a + a^\dagger = \frac{1}{\hbar\omega} H - \frac{1}{2}$$

In particular, $[a_-, a_+] = 1$

Now the T.I.S.E. reduces to

$$H\psi = E\psi$$

$$\Rightarrow \hbar\omega (a_{\pm} a_{\mp} \pm \frac{1}{2}) \psi = E\psi$$

* Now comes a crucial step:

If ψ is an eigenfn with E , then $a_+\psi$ is another eigenfn with $E + \hbar\omega$.

In other words, if $H\psi = E\psi$, then

$$H(a_+\psi) = (E + \hbar\omega)(a_+\psi).$$

Let's see how this happens:

$$\begin{aligned} H(a_+\psi) &= \hbar\omega (a_+ a_- + \frac{1}{2}) (a_+\psi) \\ &= \hbar\omega (a_+ a_- a_+ + \frac{1}{2} a_+) \psi \\ &= \hbar\omega \cdot a_+ (a_- a_+ + \frac{1}{2}) \psi \\ &= \hbar\omega \cdot a_+ (a_- a_+ - \frac{1}{2} + 1) \psi \\ &= a_+ (\hbar\omega (a_- a_+ - \frac{1}{2}) \psi + \hbar\omega \psi) \\ &= a_+ (H\psi + \hbar\omega \psi) = a_+ (E\psi + \hbar\omega \psi) \\ &= a_+ (E + \hbar\omega) \psi = (E + \hbar\omega) (a_+\psi) \\ &\quad \therefore \text{Q.E.D.} \end{aligned}$$

The same way, if $H\psi = E\psi$, then

$$H(a_-\psi) = (E - \hbar\omega)(a_-\psi)$$

So we call a_+ raising operator
or a_- lowering operator

both of them ladder operators

* Here, because the lowest energy cannot be lower than zero, if the lowest rung corresponds to ψ_0 with E_0 , $a_-\psi_0$ should not exist. In other words, $a_-\psi_0$ should not be normalizable.

There are two possibilities for the non-normalizability: ① zero
② square-integral is infinite.

We cannot prove which one of these two is correct, but from consistency with the power-series method, we find "①" is the case.

In other words $a_-\psi_0 = 0$

We can use this to determine ψ_0 as follows.

$$a_- \equiv \frac{1}{\sqrt{\hbar m \omega}} \frac{1}{\sqrt{2m}} (\bar{i}p + m\omega x)$$

$$= \frac{1}{\sqrt{\hbar m \omega}} \frac{1}{\sqrt{2m}} (\hbar \frac{d}{dx} + m\omega x)$$

$$\Rightarrow a_-\psi_0 = 0 \quad \text{gives}$$

$$(\hbar \frac{d}{dx} + m\omega x) \psi_0 = 0$$

$$\Rightarrow \frac{d}{dx} \psi_0 = -\frac{m\omega}{\hbar} x \psi_0 \Rightarrow \frac{d\psi_0}{\psi_0} = -\frac{m\omega}{\hbar} x dx$$

$$\Rightarrow \ln \psi_0 = -\frac{m\omega}{2\hbar} x^2 + \text{const}$$

$$\Rightarrow \psi_0 = A e^{-\frac{m\omega}{2\hbar} x^2}$$

Normalize it

$$1 = A^2 \int_{-\infty}^{\infty} e^{-\frac{m\omega}{\hbar} x^2} dx = A^2 \sqrt{\frac{\pi \hbar}{m\omega}}$$

$$\Rightarrow A = \left(\frac{m\omega}{\pi \hbar} \right)^{\frac{1}{4}}$$

$$\text{So } \psi_0 = \left(\frac{m\omega}{\pi\hbar} \right)^{\frac{1}{4}} e^{-\frac{m\omega}{2\hbar} x^2}$$

How about E_0 ?

$$H\psi_0 = E_0\psi_0 \Rightarrow \hbar\omega \left(a_+ a_- + \frac{1}{2} \right) \psi_0 = E_0 \psi_0$$

$$\Rightarrow \hbar\omega \left(a_+ \cdot 0 + \frac{1}{2} \right) \psi_0 = E_0 \psi_0$$

$$\Rightarrow \left(\frac{1}{2} \hbar\omega \right) \psi_0 = E_0 \psi_0 \Rightarrow \underline{E_0 = \frac{1}{2} \hbar\omega}$$

Now, all the higher eigen energies can be obtained through $E_1 = E_0 + \hbar\omega = \left(1 + \frac{1}{2} \right) \hbar\omega$
 $E_2 = E_1 + \hbar\omega = \left(2 + \frac{1}{2} \right) \hbar\omega$

... $E_n = \left(n + \frac{1}{2} \right) \hbar\omega$, with the eigenfunctions $\psi_n(x) = A_n (a_+)^n \psi_0(x)$ with proper normalization constants.

Ex. Find the first excited state of the harmonic oscillator

$$\psi_1(x) = A_1 a_+ \psi_0(x)$$

$$= A_1 \frac{1}{\sqrt{\hbar m \omega}} \frac{1}{\sqrt{2m}} (-i\hbar p + m\omega x) \psi_0(x)$$

$$= A_1 \frac{1}{\sqrt{2m\hbar\omega}} \left(-\hbar \frac{d}{dx} + m\omega x \right) \left(\frac{m\omega}{\pi\hbar} \right)^{\frac{1}{4}} e^{-\frac{m\omega}{2\hbar} x^2}$$

$$= A_1 \frac{1}{\sqrt{2m\hbar\omega}} \cdot \left(\frac{m\omega}{\pi\hbar} \right)^{\frac{1}{4}} \cdot (m\omega x + m\omega x) e^{-\frac{m\omega}{2\hbar} x^2}$$

$$= A_1 \left(\frac{m\omega}{\pi\hbar} \right)^{\frac{1}{4}} \sqrt{\frac{2m\omega}{\hbar}} \cdot x e^{-\frac{m\omega}{2\hbar} x^2}$$

$$1 = \int_{-\infty}^{\infty} |\psi_1|^2 dx \Rightarrow \text{gives } A_1 = 1$$

* Is there an easier way to find A_n ?
 Yes: see below.

Note that $H\psi_n = E_n\psi_n$

$$\Rightarrow \hbar\omega\left(a_+a_- + \frac{1}{2}\right)\psi_n = \hbar\omega\left(n + \frac{1}{2}\right)\psi_n$$

$$\Rightarrow \underline{a_+a_- \psi_n = n\psi_n}$$

「We also call a_+a_- the "number operator" because of this property

Also, note that $a_{\pm}$ is the "hermitian conjugate" of $a_{\mp}$: we will discuss this more in chap. 3.

This implies that for arbitrary functions $f(x)$ and $g(x)$

$$\int f^*(a_{\pm}g)dx = \int (a_{\mp}f)^*gdx$$

; see Griffiths for the proof

Now if $a_+\psi_n = C_n\psi_{n+1}$,

$$\int (a_+\psi_n)^* (a_+\psi_n) dx = |C_n|^2 \int \psi_{n+1}^* \psi_{n+1} dx$$

$$\Leftrightarrow \int \psi_n^* (a_- a_+ \psi_n) dx = |C_n|^2$$

$$\Leftrightarrow \int \psi_n^* (a_+ a_- + 1) \psi_n dx = |C_n|^2$$

$$\Rightarrow \int \psi_n^* n \psi_n dx + \int \psi_n^* \psi_n dx = |C_n|^2$$

$$\Rightarrow |C_n|^2 = n+1 \Rightarrow \underline{C_n = \sqrt{n+1}}$$

$$\Rightarrow \boxed{\begin{aligned} a_+ \psi_n &= \sqrt{n+1} \psi_{n+1} \\ a_- \psi_n &= \sqrt{n} \psi_{n-1} \end{aligned}}, \text{ and similarly}$$

$$\text{Then } a_+ \psi_{n-1} = \sqrt{n} \psi_n$$

$$\Rightarrow \psi_n = \frac{1}{\sqrt{n}} a_+ \psi_{n-1} = \frac{1}{\sqrt{n}} \cdot \frac{1}{\sqrt{n-1}} a_+^2 \psi_{n-2} = \dots$$

$$= \frac{1}{\sqrt{n!}} (a_+)^n \psi_0$$

e.g., $\psi_1 = a_+ \psi_0$ just as we found in the previous example.

* Some more useful properties are:

$$\int_{-\infty}^{\infty} \psi_m^* \psi_n dx = \delta_{mn}, \text{ as they should be}$$

i see Griffiths for proof.

$$\text{Also from } a_- = \frac{1}{\sqrt{\hbar m \omega}} \frac{1}{\sqrt{2m}} (-ip + m\omega x)$$

$$a_+ = \frac{1}{\sqrt{\hbar m \omega}} \frac{1}{\sqrt{2m}} (-ip + m\omega x)$$

$$\boxed{x = \sqrt{\frac{\hbar}{2m\omega}} (a_+ + a_-)}$$

$$\boxed{p = i\sqrt{\frac{\hbar m \omega}{2}} (a_+ - a_-)}$$

Ex1

$$\psi(x,0) = \frac{1}{\sqrt{2}} (\psi_0(x) + \psi_1(x))$$

Find $\langle x \rangle$, $\langle p \rangle$, and $\langle x^2 \rangle$

$$\text{From } a_+ \psi_0 = \psi_1, \quad a_+ \psi_1 = \sqrt{2} \psi_2$$

$$a_- \psi_0 = 0, \quad a_- \psi_1 = \psi_0,$$

$$\begin{aligned}
\langle a_+ \rangle &= \frac{1}{2} \langle \psi_0 + \psi_1 | a_+ | \psi_0 + \psi_1 \rangle \\
&= \frac{1}{2} \langle \psi_0 + \psi_1 | \psi_1 + \sqrt{2} \psi_2 \rangle \\
&= \frac{1}{2} [\cancel{\langle \psi_0 | \psi_1 \rangle} + \langle \psi_0 | \sqrt{2} \psi_2 \rangle + \cancel{\langle \psi_1 | \psi_1 \rangle} + \cancel{\langle \psi_1 | \sqrt{2} \psi_2 \rangle}] \\
&= \frac{1}{2}
\end{aligned}$$

$$\begin{aligned}
\langle a_- \rangle &= \frac{1}{2} \langle \psi_0 + \psi_1 | a_- | \psi_0 + \psi_1 \rangle \\
&= \frac{1}{2} \langle \psi_0 + \psi_1 | 0 + \psi_0 \rangle \\
&= \frac{1}{2} [\langle \psi_0 | \psi_0 \rangle + \cancel{\langle \psi_1 | \psi_0 \rangle}] \\
&= \frac{1}{2}
\end{aligned}$$

$$\begin{aligned}
\text{Thus } \langle x \rangle &= \sqrt{\frac{\hbar}{2m\omega}} [\langle a_+ \rangle + \langle a_- \rangle] \\
&= \sqrt{\frac{\hbar}{2m\omega}} \left[\frac{1}{2} + \frac{1}{2} \right] = \sqrt{\frac{\hbar}{2m\omega}}
\end{aligned}$$

$$\begin{aligned}
\langle p \rangle &= i \sqrt{\frac{\hbar m \omega}{2}} [\langle a_+ \rangle - \langle a_- \rangle] \\
&= i \sqrt{\frac{\hbar m \omega}{2}} \cdot \left[\frac{1}{2} - \frac{1}{2} \right] = 0
\end{aligned}$$

$$\langle x^2 \rangle = \frac{\hbar}{2m\omega} \cdot \frac{1}{2} \langle \psi_0 + \psi_1 | (a_+ + a_-)^2 | \psi_0 + \psi_1 \rangle$$

$$\begin{aligned}
(a_+ + a_-)^2 &= a_+^2 + a_+ a_- + \underbrace{a_- a_+}_{\text{,, } a_+ a_- + 1} + a_-^2 \\
&= a_+^2 + 2a_+ a_- + a_-^2 + 1
\end{aligned}$$

$$a_+^2 | \psi_0 + \psi_1 \rangle = C_1 | \psi_2 \rangle + C_2 | \psi_3 \rangle$$

$$a_+ a_- | \psi_0 + \psi_1 \rangle = a_+ a_- | \psi_1 \rangle = | \psi_1 \rangle$$

$$a_-^2 | \psi_0 + \psi_1 \rangle = 0$$

$$\begin{aligned}
S_0 \quad \langle \psi_0 + \psi_1 | (a_+ + a_-)^2 | \psi_0 + \psi_1 \rangle \\
= \langle \psi_0 + \psi_1 | C_1 | \psi_2 \rangle + \langle \psi_0 + \psi_1 | C_2 | \psi_3 \rangle
\end{aligned}$$

$$\begin{aligned}
 & + 2 \langle \psi_0 + \psi_1 | \psi_1 \rangle + \langle \psi_0 + \psi_1 | 0 \rangle \\
 & + \langle \psi_0 + \psi_1 | \psi_0 + \psi_1 \rangle \\
 & = 2 \langle \psi_1 | \psi_1 \rangle + \langle \psi_0 | \psi_0 \rangle + \langle \psi_1 | \psi_1 \rangle \\
 & = 4
 \end{aligned}$$

$$\therefore \langle x^2 \rangle = \frac{\hbar}{2m\omega} \cdot \frac{1}{2} \cdot 4 = \frac{\hbar}{m\omega}$$

Here I used the so-called Dirac notation to simplify the algebra.

We will discuss this more in Chap. 3.

In short in Dirac notation

$$\langle \psi | Q | \phi \rangle \equiv \int \psi^* Q \phi dx$$

$$\langle \psi_1 + \psi_2 | Q | \phi_1 + \phi_2 \rangle$$

$$= \int (\psi_1 + \psi_2)^* Q (\phi_1 + \phi_2) dx, \text{ etc.}$$